



61

RCE/2800 \$5

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

September 4, 2003

Date

Ayesha S. Wilks

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Michael Nuttall and  
Garry Anthony Mercaldi

Attorney Docket No. : 500803.02

Serial No. : 10/075,640

Group Art Unit : 2818

Filed : February 13, 2002

Examiner : David Vu

Title : METHOD FOR ENHANCING VERTICAL GROWTH DURING THE SELECTIVE  
FORMATION OF SILICON, AND STRUCTURES FORMED USING SAME

**REQUEST FOR CONTINUED EXAMINATION (RCE)**  
**UNDER 37 C.F.R. § 1.114**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request continued examination, under 37 C.F.R. § 1.114, of the above-identified application.

**1. Submission Required Under 37 C.F.R. § 1.114**a. ☐ Submission previously submitted

- i. ☐ Consider the amendment/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_.
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_.
- iii. ☐ Consider the Information Disclosure Statement (IDS) previously filed on \_\_\_\_\_.

09/09/2003 JADD01 00000065 10075640

01 FC:1801

750.00 OP

Page 1 of 2

- b. ☒ Submission enclosed
- i. ☒ Amendment/Reply under 37 C.F.R. § 1.116
  - ii. ☐ Affidavit(s)/Declaration(s)
  - iii. ☒ Information Disclosure Statement (IDS)

**2. Suspension of Action** (Period of suspension shall not exceed 3 months; fee under 37 C.F.R. § 1.17(i) required)

- ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_ months.

**3. Accompanying Papers**

- ☒ Postcard  
☒ Check  
☒ Fee Transmittal Sheet  
☐ Petition

**4. Deposit Account**

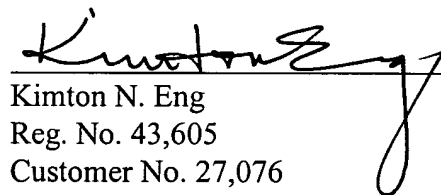
- ☒ The Commissioner is authorized to charge any additional fees required in the above-identified patent application to Deposit Account No. 50-1266.

Respectfully submitted,

Date:

September 4, 2003

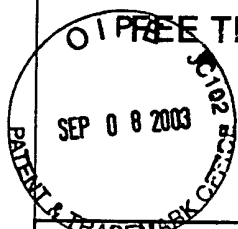
By:



Kimton N. Eng  
Reg. No. 43,605  
Customer No. 27,076  
Dorsey & Whitney LLP  
1420 Fifth Avenue, Suite 3400  
Seattle, WA 98101  
(206) 903-8800  
Attorney for Applicants

KNE:asw

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**FREE TRANSMITTAL SHEET  
(FOR FY 2003)**

**Complete if Known**

|                      |                   |
|----------------------|-------------------|
| Application No.      | 10/075,640        |
| Filing Date          | February 13, 2003 |
| First Named Inventor | Michael Nuttall   |
| Group Art Unit       | 2818              |
| Examiner             | David Vu          |
| Atty. Docket Number  | 500803.02         |

**METHOD OF PAYMENT (Check One)**

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

**FEE CALCULATION**

**1. BASIC FILING FEE**

| Large Entity |          | Small Entity |          | Fee Description                                 |
|--------------|----------|--------------|----------|---|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |
| 101          | 750      | 201          | 375      | <input type="checkbox"/> Utility Filing Fee     |
| 106          | 330      | 206          | 165      | <input type="checkbox"/> Design Filing Fee      |
| 108          | 750      | 208          | 375      | <input type="checkbox"/> Reissue Filing Fee     |
| 114          | 160      | 214          | 80       | <input type="checkbox"/> Provisional Filing Fee |

**Subtotal (1) \$0**

**2. EXTRA CLAIM FEES**

| Current Claims            | Prior | Extra  | Fee      | Fee Paid |
|---------------------------|-------|--------|----------|----------|
| Total                     | 18    | - 22 = | 0 x \$ = | \$0      |
| Ind.                      | 2     | - 3 =  | 0 x \$ = | \$0      |
| Multiple Dependent Claims |       |        |          | \$       |

**Subtotal (2) \$0**

| Large Entity |          | Small Entity |          | Fee Description   |
|--------------|----------|--------------|----------|---|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                  |
| 102          | 84       | 202          | 42       | Independent claims in excess of 3                       |
| 104          | 280      | 204          | 140      | Multiple dependent Claim                                |
| 109          | 84       | 209          | 42       | Reissue independent claims over original patent         |
| 110          | 18       | 210          | 9        | Reissue claims in excess of 20 and over original patent |

**FEE CALCULATION (Continued)**

**3. ADDITIONAL FEES**

| Large Entity |          | Small Entity |          | Fee Description  | Fee paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 105          | 130      | 205          | 65       | Surcharge - Late filing fee or oath  | \$       |
| 127          | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet                     | \$       |
| 139          | 130      | 139          | 130      | Non-English specification  | \$       |
| 147          | 2,520    | 147          | 2,520    | For Filing a Request for Reexamination                                     | \$       |
| 195          | 300      | 196          | 300      | Publication (early or Republication)                                       | \$       |
| 115          | 110      | 215          | 55       | Extension for reply within first month                                     | \$       |
| 116          | 410      | 216          | 205      | Extension for reply within 2 <sup>nd</sup> month                           | \$       |
| 117          | 930      | 217          | 465      | Extension for reply within 3 <sup>rd</sup> month                           | \$       |
| 118          | 1,450    | 218          | 725      | Extension for reply within 4 <sup>th</sup> month                           | \$       |
| 128          | 1,970    | 280          | 985      | Extension for reply within 5 <sup>th</sup> month                           | \$       |
| 120          | 320      | 220          | 160      | Filing a brief in support of an appeal                                     | \$       |
| 121          | 280      | 270          | 140      | Request for oral hearing   | \$       |
| 148          | 110      | 248          | 55       | Terminal Disclaimer Fee  | \$       |
| 140          | 110      | 240          | 55       | Petition to revive - unavoidable   | \$       |
| 141          | 1,300    | 241          | 650      | Petition to revive - unintentional   | \$       |
| 142          | 1,300    | 242          | 650      | Utility/Reissue issue fee (+ advance copies)                               | \$       |
| 143          | 470      | 243          | 235      | Design issue fee (+ advance copies)  | \$       |
| 122          | 130      | 122          | 130      | Petitions to the Commissioner  | \$       |
| 123          | 50       | 123          | 50       | Petitions related to provisional applications                              | \$       |
| 126          | 180      | 126          | 180      | Submission of IDS  | \$       |
| 581          | 40       | 81           | 40       | Recording each patent assignment per property (times number of properties) | \$       |
| 179          | 750      | 279          | 375      | Request for Continued Examination (RCE)                                    | \$750    |

Other fee (specify)

**Subtotal (3) \$750**

**Total Amount of Payment: \$750**

Submitted by:

Name: Kimton N. Eng Reg. No.: 43,605 Telephone: (206) 903-8800

Signature: Kimton N. Eng Date: September 4, 2003



**RESPONSE UNDER 37 C.F.R. § 1.116  
EXPEDITED PROCEDURE - EXAMINING GROUP 2800**

PATENT

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September 4, 2003  
Date

Ayesha S. Wilks  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/075,640

Confirmation No. : 9841

Applicants : Michael Nuttall and  
Garry Anthony Mercaldi

Attorney Docket No.: 500803.02

Filed : February 13, 2002

Customer No. : 27,076

Art Unit : 2818

Examiner : David Vu

Title : METHOD FOR ENHANCING VERTICAL GROWTH DURING THE SELECTIVE  
FORMATION OF SILICON, AND STRUCTURES FORMED USING SAME

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SEP 11 2003  
TECHNOLOGY CENTER 2800

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE UNDER 37 C.F.R. § 1.116**

Sir:

Please amend the above-captioned patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 5 of this paper.